

Run 17 diffractive EM-jet A_N update

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Outline

- Update on E sum background study
- Diffractive EM-jet A_N for all photon multiplicity EM-jets
- Diffractive EM-jet A_N for 1 or 2 photon multiplicity EM-jets

Motivation

- The E sum spectrum is not behaving well for the signal region. The peak of signal region have a strong dependent with the EM-jet x_F .
- Possible reason: There are still some background events contaminated.
- Therefore, we need to study the background shape using the zerobias events, and subtract the background contribution.
- Zerobias events:
 - Data set: run 17 pp transverse $\sqrt{s} = 510$ GeV ,zerobias stream.
 - About 50% of the zerobias stream files (12k) in dataset are used.

Event selection and corrections

- **FMS**

- 9 Triggers, veto on FMS-LED
- bit shift, bad / dead / hot channel masking
- Jet reconstruction: StJetMaker2015 , Anti-kT, $R < 0.7$, FMS point energy > 2 GeV, $p_T > 2$ GeV/c, FMS point as input.
- Only 1 EM-jet per event

Data set: run 17 pp transverse $\sqrt{s} = 510$ GeV ,fms stream
(pp500_production_2017)

- **Only allow acceptable beam polarization (up/down).**

- **Vertex** (Determine vertex z priority according to TPC , VPD, BBC.)
 - Vertex $|z| < 80$ cm

- **Roman Pot and Diffractive process:**

- Acceptable cases: (in next slide)

1. Only 1 west RP track + no east RP track
 2. Only 1 east RP track + only 1 west RP track
- RP track must be good track:
 - a) Each track hits 7 or 8 planes
 - $-0.25 < P_X < 0.3$ GeV/c ;
 - $-0.6 < P_Y < -0.4$ GeV/c or $0.3 < P_Y < 0.55$ GeV/c
 - Sum of west RP track energy and all EM Jet energy

- **BBC ADC sum cuts:**

- small BBC west ADC < 250 and small BBC east ADC > 150

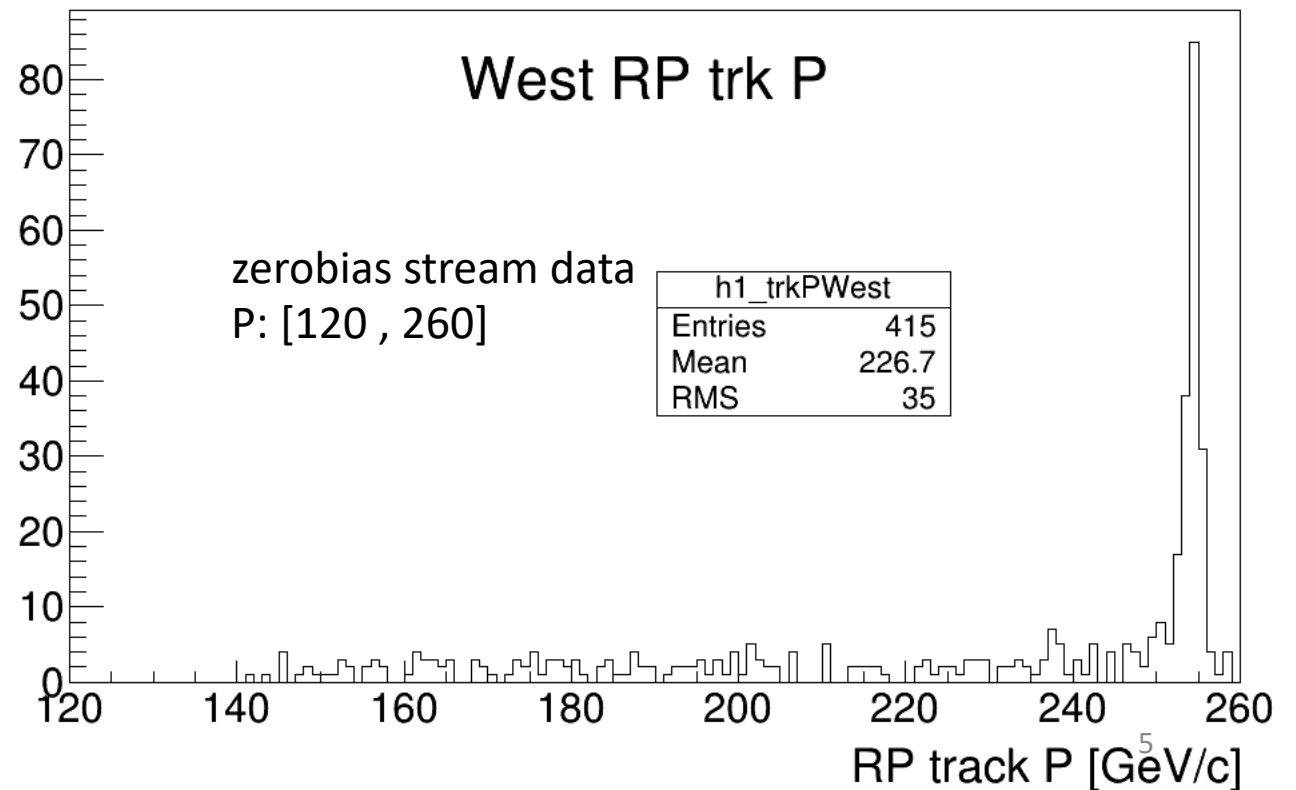
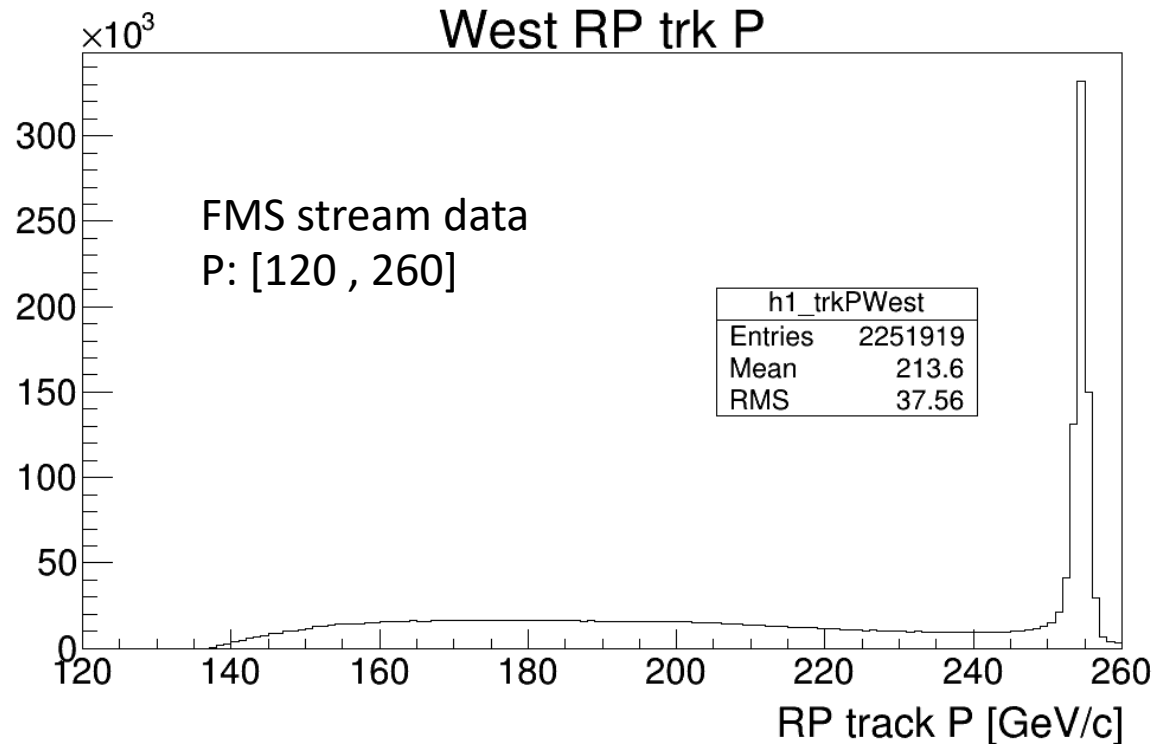
Corrections for EM-jet:

Energy correction and
Underlying Event correction

X_F	E sum cut (this presentation)
0.1 - 0.15	$E_{\text{sum}} < 260$ GeV
0.15 - 0.2	$E_{\text{sum}} < 260$ GeV
0.2 - 0.25	$200 < E_{\text{sum}} < 280$ GeV
0.25 - 0.3	$220 < E_{\text{sum}} < 280$ GeV
0.3 - 0.35	$230 < E_{\text{sum}} < 300$ GeV
0.35 - 0.4	$250 < E_{\text{sum}} < 300$ GeV
0.4 - 0.45	$260 < E_{\text{sum}} < 320$ GeV

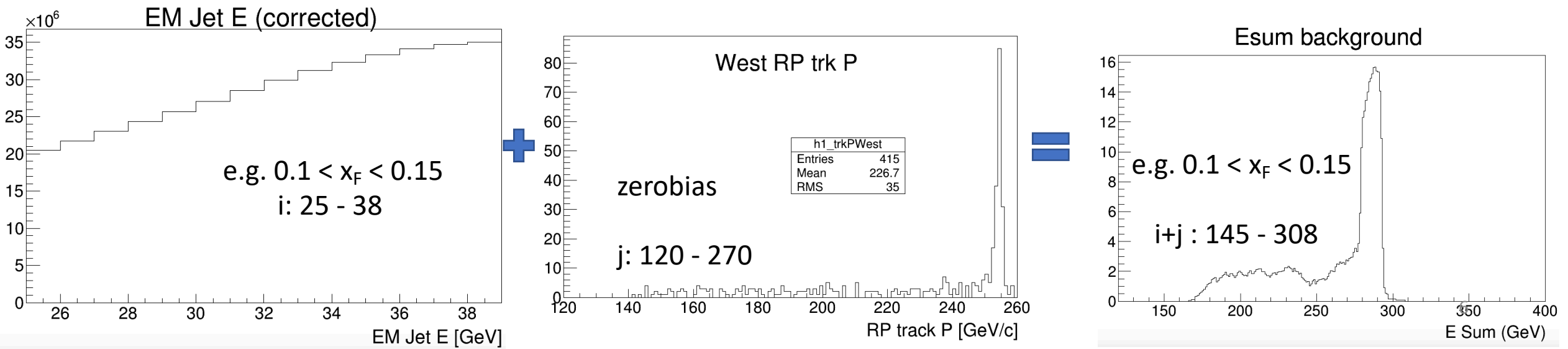
Roman Pot track QA for FMS and zerobias data

- The Roman Pot track are passing the cut below:
 - Each track hits 7 or 8 planes
 - $-0.25 < P_X < 0.3$ GeV/c ;
 - $-0.6 < P_Y < -0.4$ GeV/c or $0.3 < P_Y < 0.55$ GeV/c
- Apply the same cuts for small BBC west ADC < 250 and small BBC east ADC > 150



Background study for E sum

- We use zerobias stream events to study the background shape for E sum spectrum for different EM-jet x_F ranges.
 - E sum (**background**)= E(EM-jet from **inclusive process**) + E(west RP from **zerobias**)
- Calculation: $Esum(i + j) = \sum_{i,j} P(i) * n(j)$, i are all possible energies (in 1 GeV bin) for specific x_F range ; j are all possible energies (in 1 GeV bin) for west RP track energy (momentum) in zerobias data.
 - P(i) is the fraction for EM-jet yields in [i,i+1] (GeV) within the specific x_F range .
 - n(j) is the yields in west RP energy (momentum) in [j,j+1] (GeV).



Further explanation for the calculation

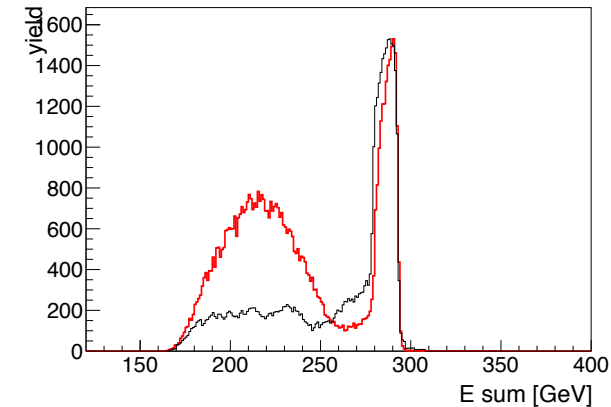
- Calculation: $E_{sum}(i + j) = \sum_{i,j} P(i) * n(j)$
 - i are all possible energies (in 1 GeV bin) for specific x_F range ; j are all possible energies (in 1 GeV bin) for west RP track energy (momentum) in zerobias data.
 - $P(i)$ is the fraction for EM-jet yields in $[i,i+1]$ (GeV) within the specific x_F range .
 - $n(j)$ is the yields in west RP energy (momentum) in $[j,j+1]$ (GeV).
- Example: calculate $E_{sum}(250)$, energy sum for 250 GeV, for $0.1 < x_F < 0.15$
 - $0.1 < x_F < 0.15 \rightarrow 25.5 < E_{jet} < 38.25$ GeV \rightarrow range of i : $[25,38]$
 - $E_{sum}(250) = \sum_{i=25}^{38} P(i) * n(250 - i)$
 - $= P(25) * n(225) + P(26) * n(224) + \dots + P(38) * n(212)$
 - $P(i) = \frac{n(EM-jet,i)}{\sum_{i=25}^{38} n(EM-jet,i)}$

E sum spectrum based on different x_F ranges

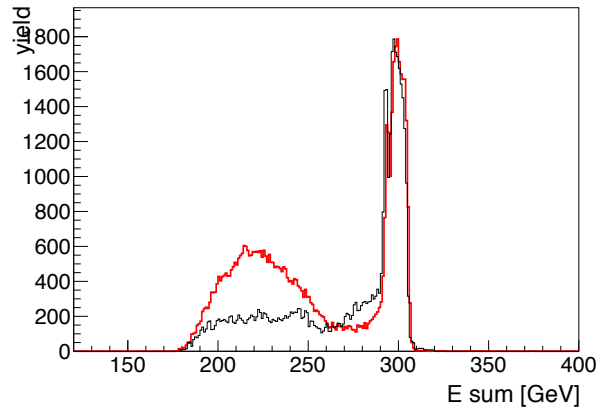
- Data: FMS stream data with east BBC cut and west BBC cut (<250).
- Black curve (Background) is mixed events from zerobias events (scaled to data).
- **Red** curve is the FMS stream data

GOOD!

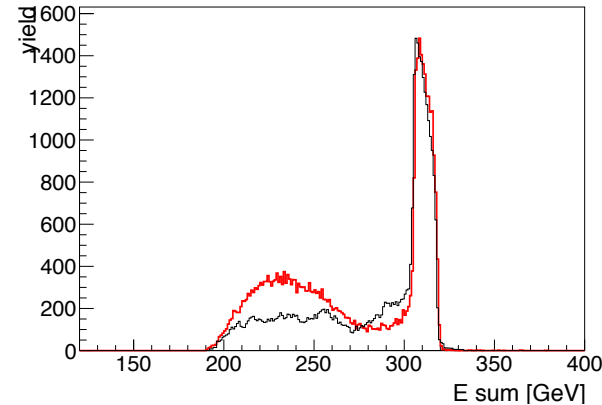
Esum distribution for $0.1 < x_F < 0.15$



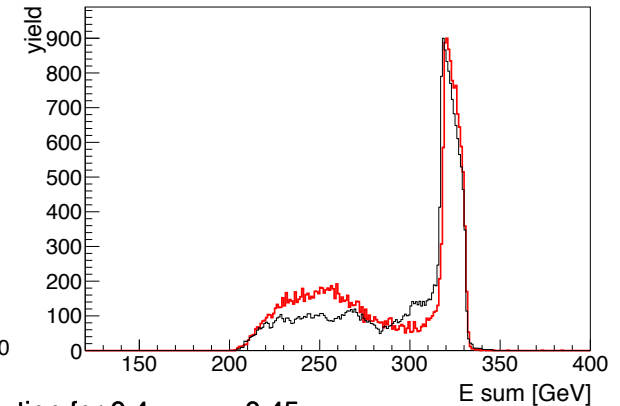
Esum distribution for $0.15 < x_F < 0.2$



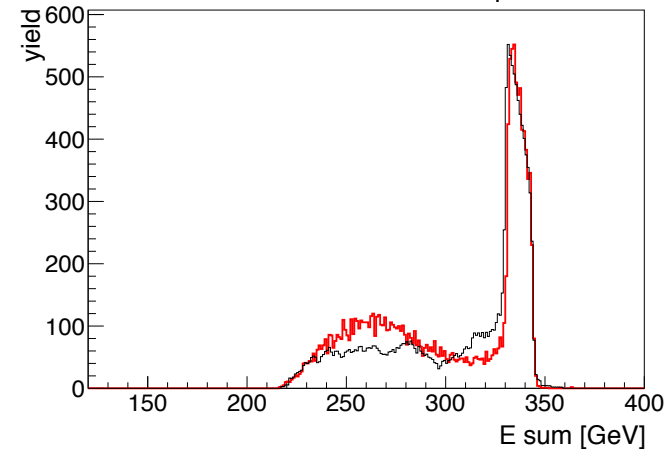
Esum distribution for $0.2 < x_F < 0.25$



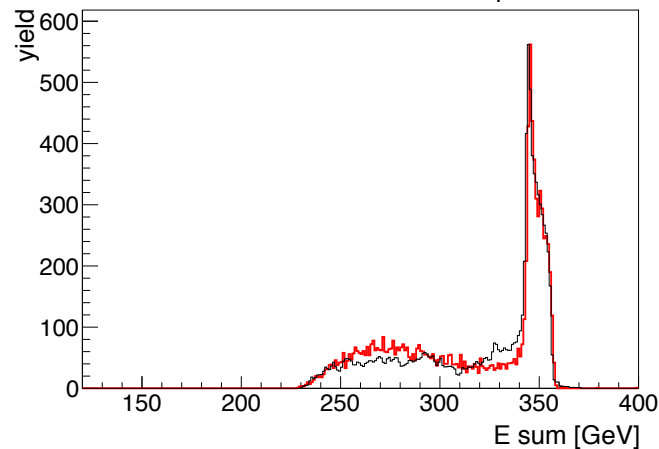
Esum distribution for $0.25 < x_F < 0.3$



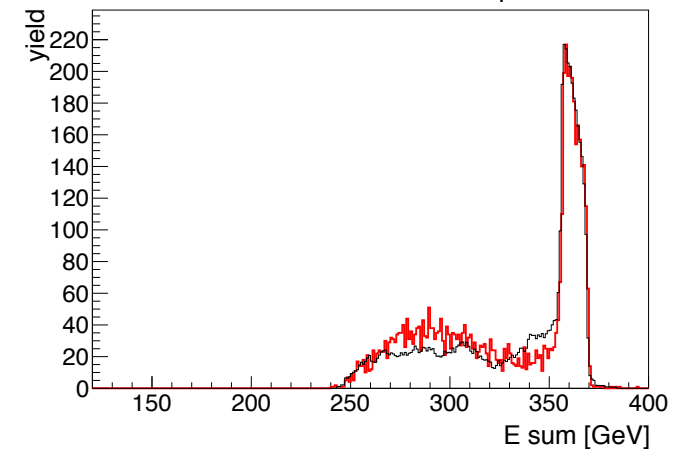
Esum distribution for $0.3 < x_F < 0.35$



Esum distribution for $0.35 < x_F < 0.4$



Esum distribution for $0.4 < x_F < 0.45$



New E sum cut based on the zerobias bkg study

- We choose small BBC West < 250 , which have much higher fraction of signal.
- Apply the new E sum cut based on the regions of **FMS data curve** over the **zerobias curve**

x_F	Old E sum Cut
0.1 - 0.15	$E_{\text{sum}} < 265 \text{ GeV}$
0.15 - 0.2	$E_{\text{sum}} < 280 \text{ GeV}$
0.2 - 0.25	$E_{\text{sum}} < 295 \text{ GeV}$
0.25 - 0.3	$E_{\text{sum}} < 305 \text{ GeV}$
0.3 - 0.35	$E_{\text{sum}} < 315 \text{ GeV}$
0.35 - 0.4	$E_{\text{sum}} < 330 \text{ GeV}$
0.4 - 0.45	$E_{\text{sum}} < 340 \text{ GeV}$



x_F	New E sum Cut
0.1 - 0.15	$E_{\text{sum}} < 260 \text{ GeV}$
0.15 - 0.2	$E_{\text{sum}} < 260 \text{ GeV}$
0.2 - 0.25	$200 < E_{\text{sum}} < 280 \text{ GeV}$
0.25 - 0.3	$220 < E_{\text{sum}} < 280 \text{ GeV}$
0.3 - 0.35	$230 < E_{\text{sum}} < 300 \text{ GeV}$
0.35 - 0.4	$250 < E_{\text{sum}} < 300 \text{ GeV}$
0.4 - 0.45	$260 < E_{\text{sum}} < 320 \text{ GeV}$

Fraction of signal and background

- All photon multiplicity
- Calculate the fraction of signal and background from the integrating the yield of each the FMS data and zerobias background:
 - N_{tot} = Integral of yield for the **FMS data curve** within new E sum range.
 - N_{bkg} = Integral of yield for the **zerobias data scaled curve** within new E sum range.
 - $N_{\text{sig}} = N_{\text{tot}} - N_{\text{bkg}}$
 - $\text{Frac sig (bkg)} = N_{\text{sig}} (N_{\text{bkg}}) / N_{\text{tot}}$

xF bin	N tot	N sig	N bkg	Frac sig	Frac bkg
0.1 – 0.15	40690	26240	14450	0.64	0.36
0.15 – 0.2	29861	16890	12971	0.57	0.43
0.2 – 0.25	19412	8242	11169	0.42	0.58
0.25 – 0.3	8511	2865	5646	0.34	0.66
0.3 – 0.35	5774	1769	4005	0.31	0.69
0.35 – 0.4	2936	678	2258	0.23	0.77
0.4 – 0.45	1830	477	1353	0.26	0.74
0.25 – 0.45	19051	5790	13261	0.30	0.70

Calculate the signal A_N result

- Consider the measured A_N contains signal and background.
- $A_N(\text{measured}) = \text{frac}(\text{bkg}) * A_N(\text{bkg}) + \text{frac}(\text{sig}) * A_N(\text{sig})$
- Therefore, $A_N(\text{sig}) = \frac{A_N(\text{measured}) - \text{frac}(\text{bkg}) * A_N(\text{bkg})}{\text{frac}(\text{sig})}$
- The background study apply the background region for E sum cut

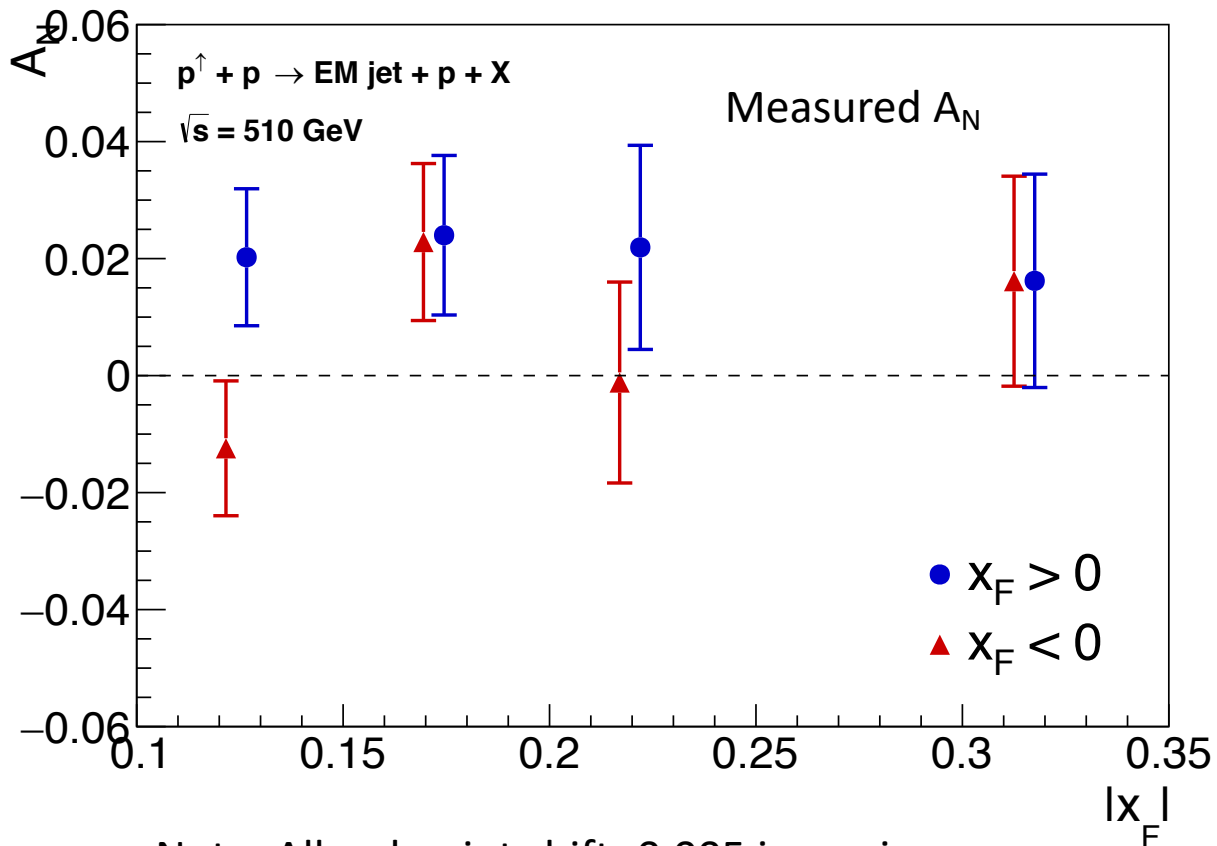
x_F	New E sum Cut (measured)
0.1 - 0.15	$E_{\text{sum}} < 260 \text{ GeV}$
0.15 - 0.2	$E_{\text{sum}} < 260 \text{ GeV}$
0.2 - 0.25	$200 < E_{\text{sum}} < 280 \text{ GeV}$
0.25 - 0.3	$220 < E_{\text{sum}} < 280 \text{ GeV}$
0.3 - 0.35	$230 < E_{\text{sum}} < 300 \text{ GeV}$
0.35 - 0.4	$250 < E_{\text{sum}} < 300 \text{ GeV}$
0.4 - 0.45	$260 < E_{\text{sum}} < 320 \text{ GeV}$

x_F	Background E sum Cut
0.1 - 0.15	$E_{\text{sum}} > 260 \text{ GeV}$
0.15 - 0.2	$E_{\text{sum}} > 260 \text{ GeV}$
0.2 - 0.25	$E_{\text{sum}} > 280 \text{ GeV}$
0.25 - 0.3	$E_{\text{sum}} > 280 \text{ GeV}$
0.3 - 0.35	$E_{\text{sum}} > 300 \text{ GeV}$
0.35 - 0.4	$E_{\text{sum}} > 300 \text{ GeV}$
0.4 - 0.45	$E_{\text{sum}} > 320 \text{ GeV}$

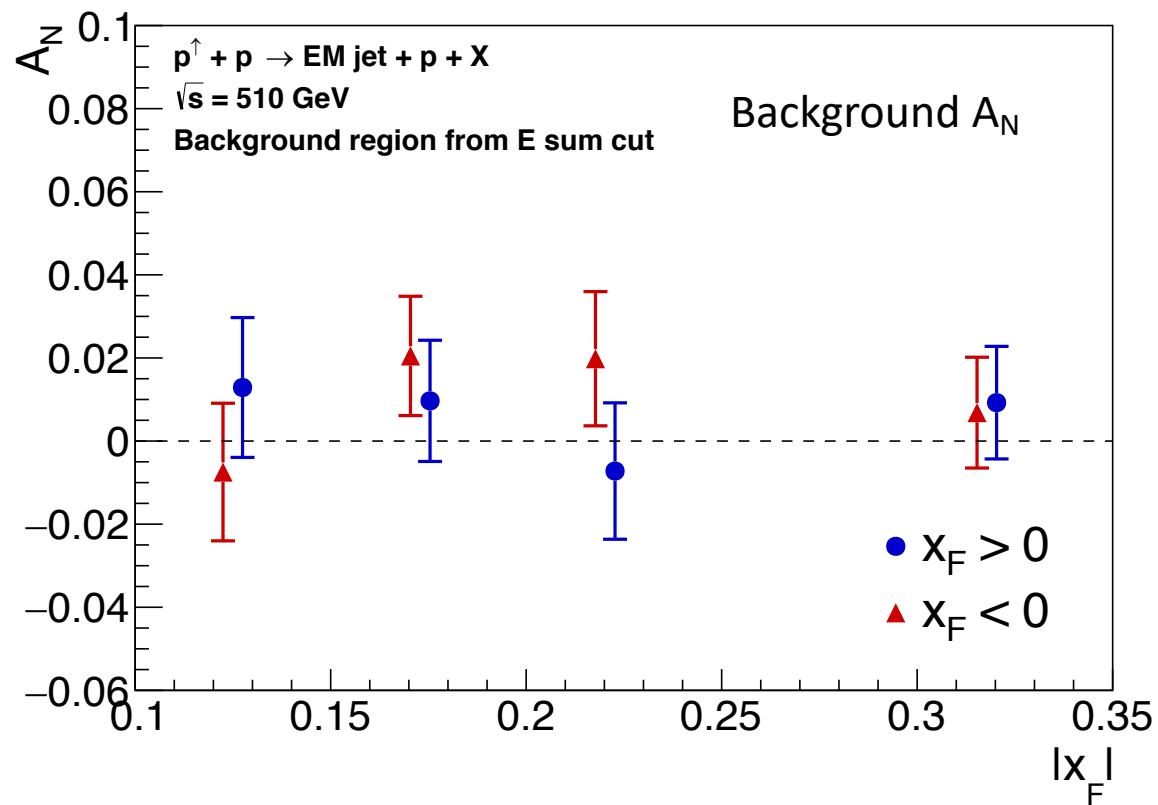
Measured A_N and background A_N

EM-jet with all photon multiplicity

$$A_N(sig) = \frac{A_N(measured) - frac(bkg) * A_N(bkg)}{frac(sig)}$$



Note: All red point shift -0.005 in x axis.



Systematic uncertainty

- $A_N(sig) = \frac{A_N(measured) - frac(bkg) * A_N(bkg)}{frac(sig)}$
- Systematic uncertainty for $A_N(measured)$
 - Small BBC east cut, small BBC west cut, E sum cut
- Systematic uncertainty for $A_N(bkg)$
 - Small BBC east cut, small BBC west cut
- Systematic uncertainty for $frac(sig)$
- Polarization uncertainty

Use 2 methods to estimate the systematic uncertainty for cuts:

1. Estimate the systematic uncertainty by the average A_N difference (from constant fit) from varying the cuts.
2. For a certainty cut (R1), choose an entirely different cut region (R2) to study . Calculate their A_N difference and statistical uncertainty.

$$= \max\{(|A_N(R1) - A_N(R2)| - \sqrt{\sigma_{R1}^2 + \sigma_{R2}^2}), 0\}$$

Systematic uncertainty results ($A_N(\textit{measured})$)

- E sum

- Small BBC west sum

xF (measured)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.0038	0.00084	0.0012	0.025
0.15 – 0.2		0		0
0.2 – 0.25		0		0
0.25 – 0.45		0		0

- Small BBC east sum

xF (measured)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.00083	0	0.00012	0.040
0.15 – 0.2		0		0
0.2 – 0.25		0		0.016
0.25 – 0.45		0		0

xF (measured)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.0018	0.00084	0.00059	0.025
0.15 – 0.2		0		0
0.2 – 0.25		0.0054		0
0.25 – 0.45		0		0

$$A_N(\textit{sig}) = \frac{A_N(\textit{measured}) - \textit{frac}(\textit{bkg}) * A_N(\textit{bkg})}{\textit{frac}(\textit{sig})}$$

Use the higher value one between 2 methods to assign as systematic uncertainty

Sys(mea)=

$$\sqrt{\textit{sys}_{SBBCW}^2 + \textit{sys}_{SBBCE}^2 + \textit{sys}_{Esum}^2 / \textit{frac}(\textit{sig})}$$

Systematic uncertainty results ($A_N(bkg)$)

- Small BBC west sum

xF (bkg)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.0022	0	0.0017	0
0.15 – 0.2		0		0.0080
0.2 – 0.25		0		0
0.25 – 0.45		0		0

- Small BBC east sum

xF (bkg)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.0038	0.0035	0.0018	0
0.15 – 0.2		0		0
0.2 – 0.25		0		0.060
0.25 – 0.45		0		0.017

$$A_N(sig) = \frac{A_N(measured) - frac(bkg) * A_N(bkg)}{frac(sig)}$$

Use the higher value one between 2 methods to assign as systematic uncertainty

Sys(bkg)=

$$\sqrt{sys_{SBBCW}^2 + sys_{SBBC E}^2 * frac(bkg) / frac(sig)}$$

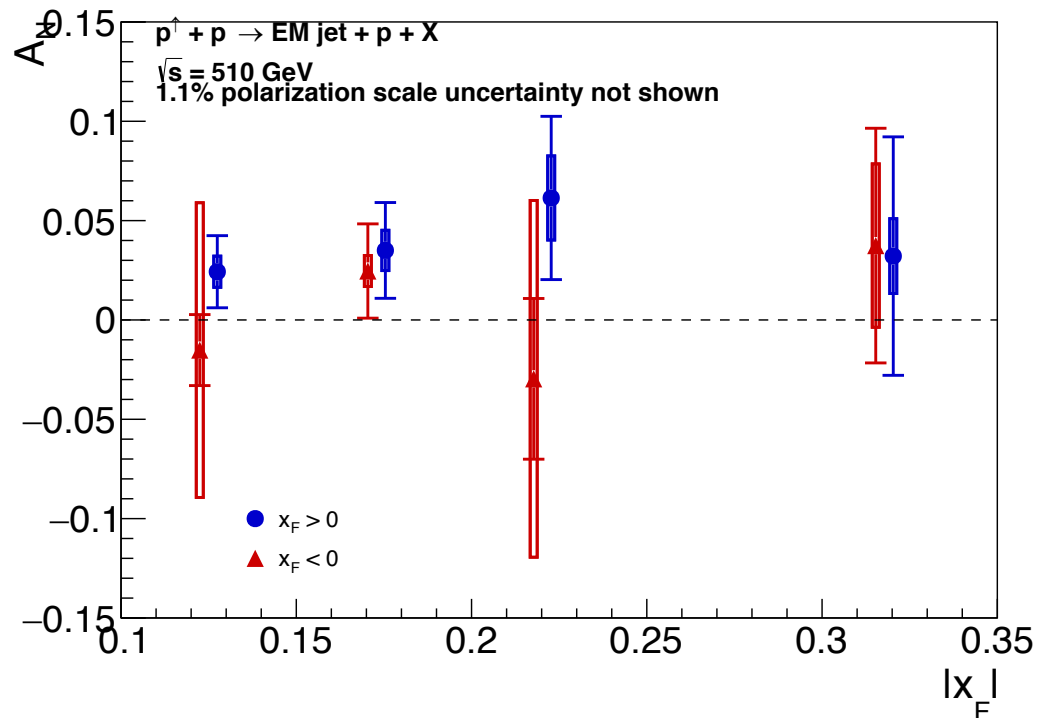
Systematic uncertainty for $frac(sig)$

- For the systematic uncertainty, use west RP track from samples of RP stream to do the mix event for background study for systematic uncertainty.
- Apply the difference of the signal fraction to assign for systematic.

xF	West RP track from zerobias stream (analysis) $frac(sig)$	West RP track from RP stream (systematic) $frac(sig)$	Difference
0.1 – 0.15	0.64	0.51	0.13
0.15 – 0.2	0.57	0.40	0.17
0.2 – 0.25	0.42	0.21	0.21
0.25 – 0.45	0.30	0.08	0.22

Signal A_N results for new E sum cut

- A_N results for new E sum cut
- **4 x_F bins.** ([0.1, 0.15], [0.15, 0.2], [0.2, 0.25] , [**0.25, 0.45**])
- The new E sum cut will reduce huge fraction of events, increasing the statistical uncertainty.



Note: All red point shift -0.005 in x axis.

$$A_N(\text{sig}) = \frac{A_N(\text{measured}) - \text{frac}(\text{bkg}) * A_N(\text{bkg})}{\text{frac}(\text{sig})}$$

Constant fit to check n-sigma to be non-zero:

- Blue beam: 0.0317 ± 0.0145 . (2.18σ)
- Yellow beam: 0.0198 ± 0.0220 . (0.902σ)

Study for EM-jet with photon multiplicity 1 or 2

- The event selection for the EM-jet with photon multiplicity 1 or 2 are same as the EM-jet with all photon multiplicity.
 - We study the background shape on the E sum spectrum, and the regions based on the FMS data curve over the background curve are similar. Therefore, we choose the same E sum cuts.
- The method of calculating the signal A_N for EM-jet with photon multiplicity 1 or 2 is same as for all photon multiplicity EM-jets.
 - $$A_N(sig) = \frac{A_N(measured) - frac(bkg) * A_N(bkg)}{frac(sig)}$$
- Same approaches to estimate the systematic uncertainties.

Fraction of signal and background

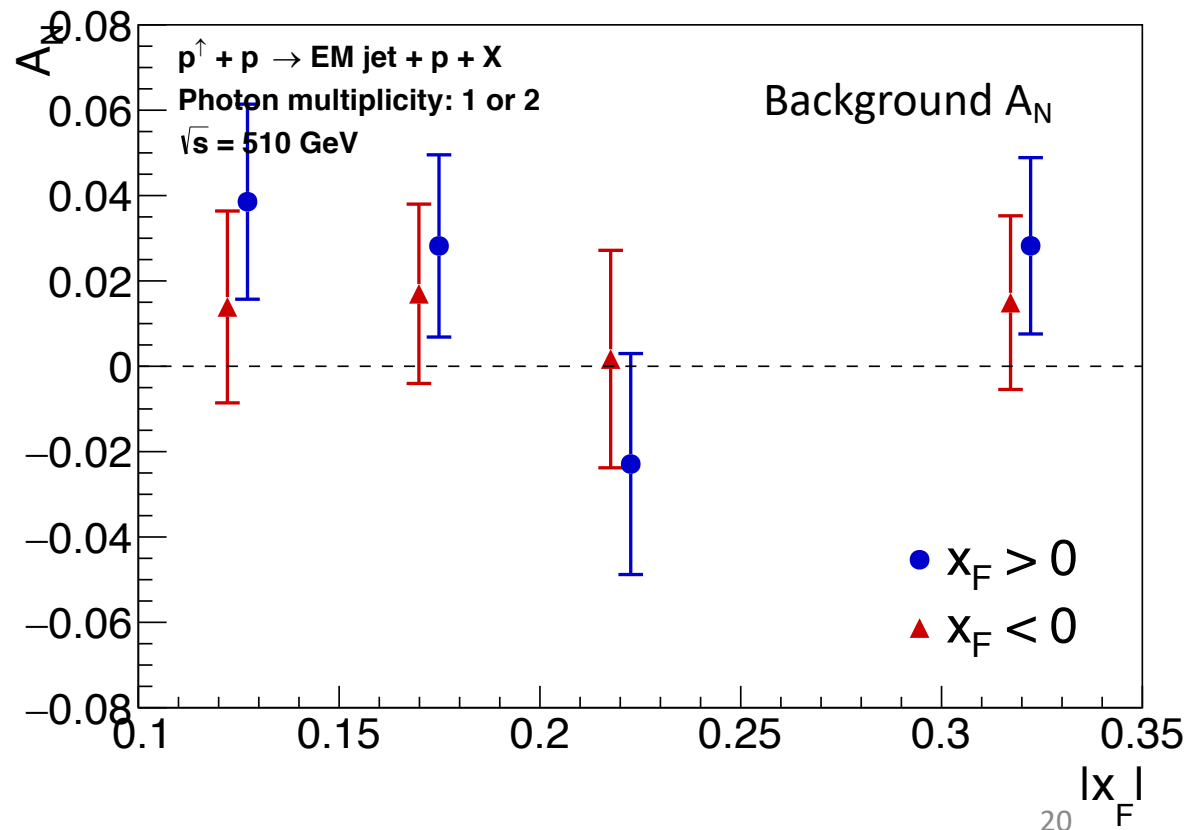
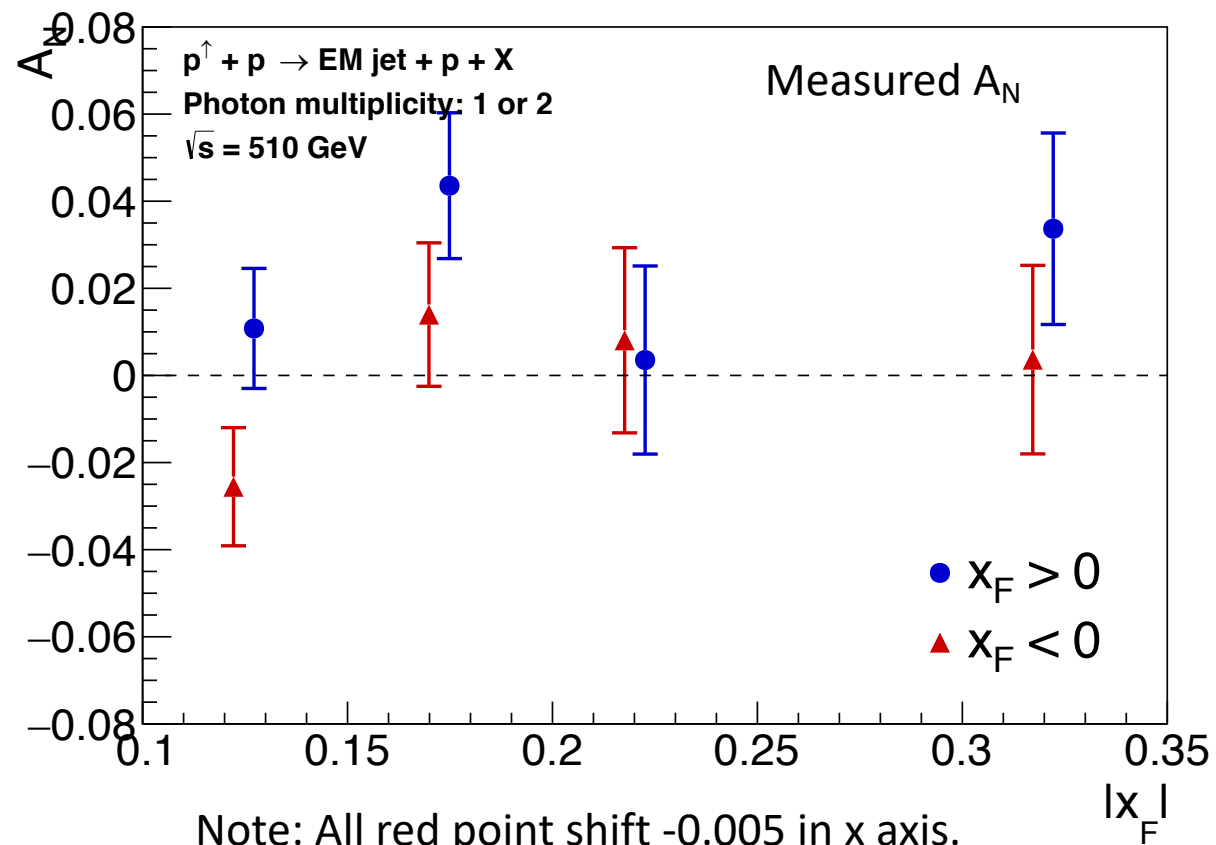
- Photon multiplicity : 1 or 2
- Calculate the fraction of signal and background from the integrating the yield of each the FMS data and zerobias background:
 - N_{tot} = Integral of yield for the **FMS data curve** within new E sum range.
 - N_{bkg} = Integral of yield for the **zerobias data scaled curve** within new E sum range.
 - $N_{\text{sig}} = N_{\text{tot}} - N_{\text{bkg}}$
 - $\text{Frac sig (bkg)} = N_{\text{sig}} (N_{\text{bkg}}) / N_{\text{tot}}$

xF bin	N tot	N sig	N bkg	Frac sig	Frac bkg
0.1 – 0.15	29406	20924	8482	0.71	0.29
0.15 – 0.2	19965	13308	6657	0.67	0.33
0.2 – 0.25	12988	7728	5260	0.60	0.40
0.25 – 0.3	5851	3212	2639	0.55	0.45
0.3 – 0.35	4028	1885	2143	0.47	0.53
0.35 – 0.4	2107	825	1283	0.39	0.61
0.4 – 0.45	1275	546	729	0.43	0.57
0.25 – 0.45	13261	6468	6793	0.49	0.51

Measured A_N and background A_N

EM-jet with photon multiplicity 1 or 2

$$A_N(sig) = \frac{A_N(measured) - frac(bkg) * A_N(bkg)}{frac(sig)}$$



Systematic uncertainty results ($A_N(\text{measured})$)

- Small BBC west sum

- E sum

xF (measured)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.0025	0	0.0013	0.0084
0.15 – 0.2		0.0062		0
0.2 – 0.25		0		0
0.25 – 0.45		0		0

xF (measured)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.0011	0.0011	0.00071	0.013
0.15 – 0.2		0		0
0.2 – 0.25		0		0
0.25 – 0.45		0		0

- Small BBC east sum

xF (measured)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.00082	0	0.00031	0.068
0.15 – 0.2		0		0
0.2 – 0.25		0.021		0.0047
0.25 – 0.45		0		0

Use the higher value one between 2 methods to assign as systematic uncertainty

Systematic uncertainty results ($A_N(bkg)$)

- Small BBC west sum

xF (bkg)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.0045	0	0.00074	0.0057
0.15 – 0.2		0		0.0080
0.2 – 0.25		0.012		0
0.25 – 0.45		0		0.00024

- Small BBC east sum

xF (bkg)	Method 1 Blue beam	Method 2 Blue beam	Method 1 Yellow beam	Method 2 Yellow beam
0.1 – 0.15	0.0039	0.030	0.00046	0
0.15 – 0.2		0		0
0.2 – 0.25		0		0.036
0.25 – 0.45		0		0

Use the higher value one between 2 methods to assign as systematic uncertainty

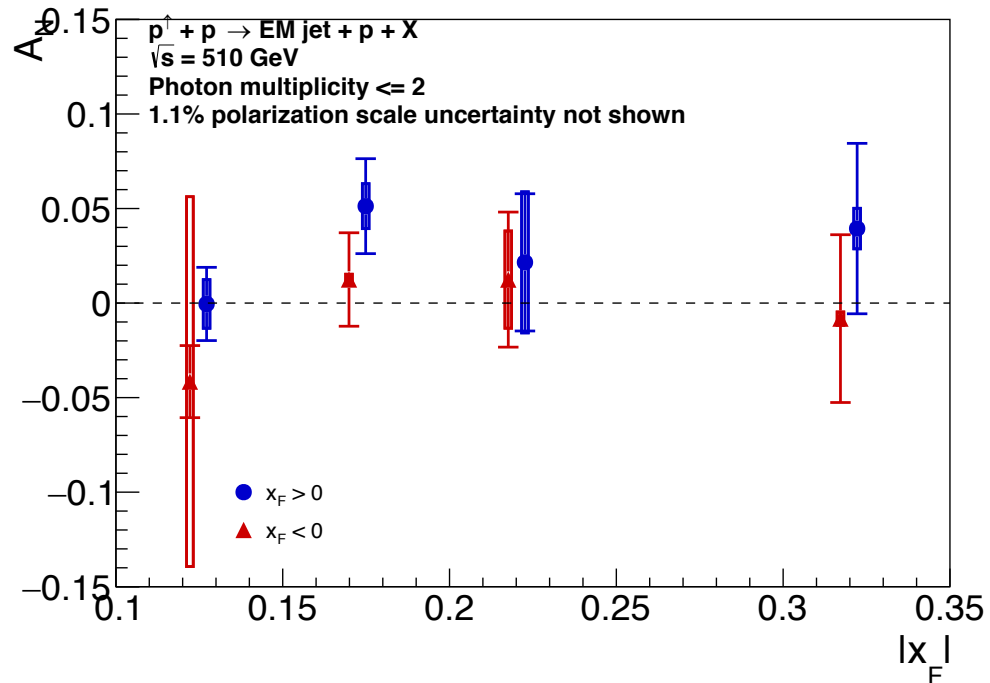
Systematic uncertainty for $frac(sig)$

- For the systematic uncertainty, use west RP track from samples of RP stream to do the mix event for background study for systematic uncertainty.
- Apply the difference of the signal fraction to assign for systematic.

xF	West RP track from zerobias stream (analysis) $frac(sig)$	West RP track from RP stream (systematic) $frac(sig)$	Difference
0.1 – 0.15	0.71	0.60	0.11
0.15 – 0.2	0.67	0.54	0.13
0.2 – 0.25	0.60	0.45	0.15
0.25 – 0.45	0.49	0.32	0.17

Signal A_N results for 1 or 2 photon multi EM-jets

- A_N results for 1 or 2 photon multiplicity EM-jets
- **4 x_F bins.** ([0.1, 0.15], [0.15, 0.2], [0.2, 0.25] , [**0.25, 0.45**])



$$A_N(\text{sig}) = \frac{A_N(\text{measured}) - \text{frac}(\text{bkg}) * A_N(\text{bkg})}{\text{frac}(\text{sig})}$$

Constant fit to check n-sigma to be non-zero:

- Blue beam: 0.0231 ± 0.0158 . (1.46σ)
- Yellow beam: 0.0067 ± 0.019 . (0.348σ)

Note: All red point shift -0.005 in x axis.

Conclusion and Outlook

- The new set of mix event background study looks better now. It can show obvious peak for signal.
- The background shape could be effected by the limited statistics after the event selection for zerobias events. Therefore, this effect can be considered in the systematic uncertainty
- The signal A_N results subtract the background contribution.
- Next to do: Based on the comments from PWG, improve the results and request for preliminary.
 - Preliminary release for SPIN 23 conference.

Back up

- Methods for systematic uncertainty
 - Presented in Spin PWG meeting on July 5

Method 1 (suggested by Oleg)

- Idea: estimate the systematic uncertainty by the average A_N difference from varying the cuts.
- List of cuts to study: (BBC cuts for example)
 - Small BBC west sum
 - Original cut value: < 250
 - Systematic uncertainty cut value: < 400
 - Small BBC east sum
 - Original cut value: > 150
 - Systematic uncertainty cut value : > 50

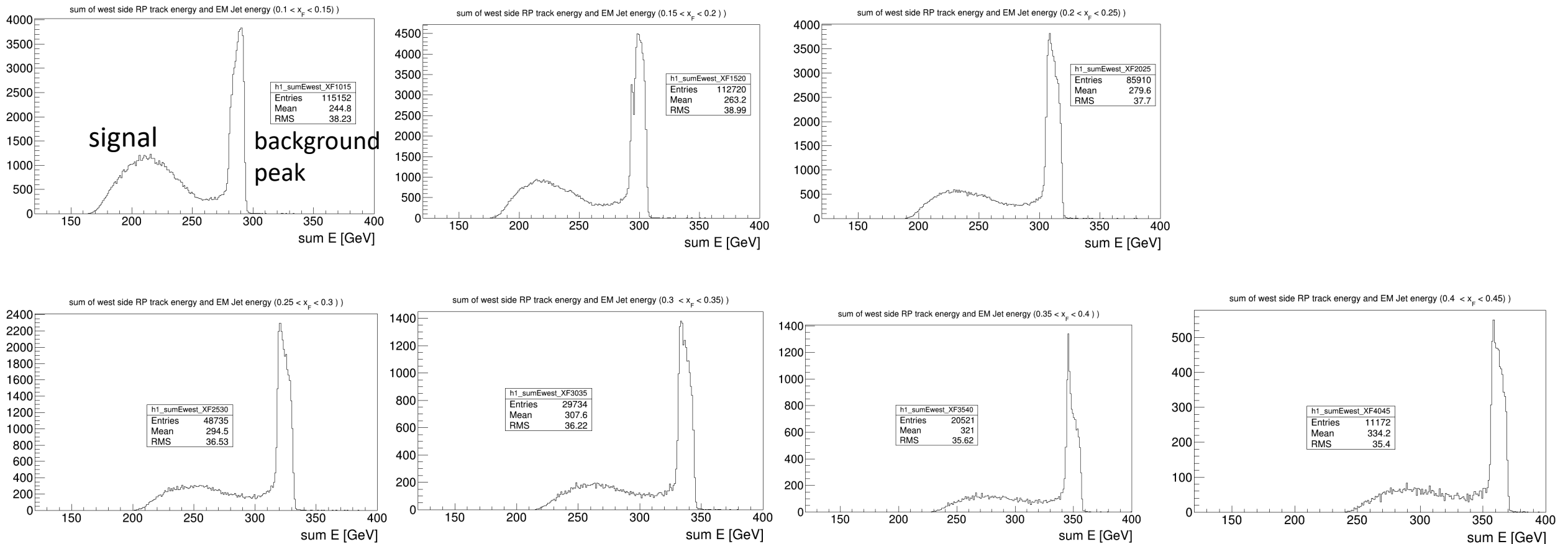
Method 2 (suggested by Carl)

- For a certainty cut, choose an entirely different region (Region 2) from original region (Region 1). Calculate their A_N difference and statistical uncertainty.
- Small BBC west sum (sBBCW):
 - Region 1: sBBCW < 250
 - Region 2: 250 < sBBCW < 550
- Small BBC east sum (sBBCE):
 - Region 1: sBBCE > 150
 - Region 2: sBBCE < 150
- Use the formula below to calculate the systematic uncertainty:

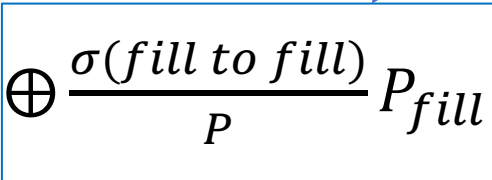
$$Syst = \max\{(|A_N(R1) - A_N(R2)| - \sqrt{\sigma_{R1}^2 + \sigma_{R2}^2}), 0\}$$

E sum spectrum based on different x_F ranges

- The peak of signal region shift significantly for different x_F ranges.
 - The peak of signal region is exceeded 255 GeV (beam energy) for higher x_F ranges



Polarization uncertainty

- $\sigma(P_{set}) = P_{set} \cdot \frac{\sigma(scale)}{P} \oplus \sigma_{set}(fill\ to\ fill) \oplus P_{set} \cdot \frac{\sigma(profile)}{P}$
- $\frac{\sigma(scale)}{P} = 1.1\% \text{ [1]}$
- $\frac{\sigma(profile)}{P} = \frac{2.2\%}{\sqrt{M}} = 0.17\% \text{ [1]}$ $M=179, N=190$
- $\sigma_{set}^2(fill\ to\ fill) = \left(1 - \frac{M}{N}\right) \frac{\sum_{fill} L_{fill}^2 \sigma^2(P_{fill})}{(\sum_{fill} L_{fill})^2}$
 - $\sigma_{set}(fill\ to\ fill) = 0.06\%$
 - $\sigma(P_{fill}) = \sigma(P_0) \oplus \sigma\left(\frac{dP}{dt}\right) \left(\frac{\sum_{run} t_{run} L_{run}}{L_{fill}} - t_0\right) \oplus \frac{\sigma(fill\ to\ fill)}{P} P_{fill} \text{ [2]}$

- so $\sigma(P_{set}) = 1.1\%$

[1] W. B. Schmidke, [RHIC polarization for Runs 9-17](#)

[2] Z. Chang [Example calculation of fill-to-fill polarization uncertainties](#)

